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PATENT APPLICATION
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Dan Botez, James G. Lopez,
Gunawan Witjaksono

Date: August 22, 2002

Serial No.: 09/635,968

Docket No.: 032026:0471

Filed: August 10, 2000

Group Art Unit: 2828

Examiner: Cornelius H. Jackson

For: **SINGLE MODE, SINGLE LOBE SURFACE EMITTING DISTRIBUTED
FEEDBACK SEMICONDUCTOR LASER**

I hereby certify that this correspondence is being deposited with the United States
Postal Service as first class mail in an envelope addressed to: Commissioner for
Patents, Washington, D.C. 20231 on August 22, 2002.

Harry C. Engstrom

(Name of applicant, assignee
or Registered Representative)

(Signature)

August 22, 2002

(Date of Signature)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(c)**

08/29/2002 MGBREM1 00000073 09635968

01 EC:126
02 FC:125

180.00 OP
55.00 OP

Commissioner for Patents
Patent and Trademark Office
Washington, D.C. 20231

Dear Sir:

With respect to the examination of the above-referenced application, the
applicants cite the following document, a copy of which is enclosed. This document is also
listed on the accompanying Form PTO-1449.

Jun-ichi Kinoshita, "Axial Profile of Grating Coupled Radiation from Second-Order DFB Lasers with Phase Shifts," IEEE Journal of Quantum Electronics, Vol. 26, No. 3, March, 1990, pp. 407-412.

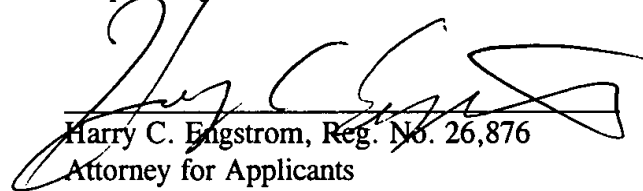
REMARKS

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c) before a final action or Notice of Allowance. A firm check in the amount of the fee set forth in § 1.17(p) accompanies this Statement. The Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account 50-2350.

The cited paper is discussed in the Amendment and Reply being filed herewith by applicants.

It is thus requested that the above-referenced paper be considered during examination of this application and be made of record therein.

Respectfully submitted,



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